

**COMPLIANCE ABSTRACT\***  
FOR  
**National Science Foundation (NSF)**  
This form was last updated 06/12/06  
Website:// <http://www.nsf.gov/bfa/cpo/gpm95/>

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**ALTERATIONS/RENOVATION:** Allowable-Threshold of \$25,000 plus submit for prior approval electronically using FastLane.

**CONSULTING:** Allowable – Payments to an individual shall not exceed the daily equivalent of the current maximum rate paid to a GS-18 federal employee. Check web site [www.nsf.gov/bfa/cpo/policy/faqs.htm#cons](http://www.nsf.gov/bfa/cpo/policy/faqs.htm#cons) for the most current allowable rate. OUHSC faculty may not be paid consulting. Eff FY06 limitation has been lifted.

**CONTRACTUAL:** Must have written NSF authorization to contract or transfer a significant part of the research to another organization unless included in the original proposal.

**EQUIPMENT:** Must be necessary for the research activity and not otherwise accessible. Title vests with OU. Must be available for sharing with other projects in accordance with OMB A-110 Section .34c. All general-purpose equipment must have prior approval, and be primarily or exclusively used in the actual research.

**PARTICIPANT COSTS:** Allowable - Prior approval required for Rebudgets TO other categories.

**PUBLICATIONS:** Allowable per OMB Circular A-21, see: [A-21](#)

**SALARY/STIPENDS:** Allowable per OMB Circular A-21

**SUPPLIES:** Allowable per OMB Circular A-21

**TRAVEL, DOMESTIC:** Travel expenses will be reimbursed at actual, reasonable and necessary costs. IPAS may be given to increase the amount of domestic travel.

**TRAVEL, FOREIGN:** ALL foreign travel must be approved in award budget or approved by ORA. Foreign travel may be increased by rebudgeting

**TUITION:** Prior approval required for Rebudgets TO other categories

**OTHER BUDGET:** Allowable per OMB Circular A-21 – may include computer services. Meals and refreshments are unallowable.

**F&A (Indirect):** Allowable, Federal negotiated rate must be used in computing indirect cost in the proposal.

**MISCELLANEOUS:** To obtain a committed funding increment and ensure continuity of funding, the required progress report must be forwarded at least three (3) months prior to the end of the budget period. Technical Report, NSF 98A, due ninety (90) days after grant ending date. File Retention – all documents and records must be retained three (3) years after completion.

**COST SHARING:** A minimum of one percent (1%) of the project unless specific requirements in excess of one percent (1%) are stated in the program announcement/solicitation. Cost Sharing **MUST** be documented.

**PRE-AWARD COST:** ORA approval for more than ninety (90) days preceding starting date of the contract. Approval must be made prior to the incurrence of the costs.

**REBUDGET REQUEST:** Participant Cost – Written prior approval from the cognizant NSF Program Officer is necessary to rebudget funds budgeted for participant or trainee support cost.

**NO-COST EXTENSION:** A one (1) time extension, not to exceed twelve (12) months, must be made prior to the expiration date. Awardee-authorized extensions must be submitted electronically using FastLane, at least ten (10) days prior to expiration. Additional extensions must have prior approval from NSF.

**CONTINUATION/RENEWAL:** Successive increment of a continuing grant will be funded at the level indicated in the Original Grant letter, contingent on availability of funds, satisfactory scientific/technical progress and any specific conditions of the grant. Project number will not change from year to year.

**FINAL BILLING/REPORTING:** Final expenditure reports by the 10<sup>th</sup> day of the second month following the quarter end of the award. Expenditure reports and federal cash transaction reports must be submitted electronically using FastLane.

**CLOSE OUT:** NSF does not require grantees to submit individual SF 269, Financial Status Reports, for purposes of final grant accountability. NSF procedures have been designed to extract the final financial data from the entries in the FCTR.

\* Note: All requests to sponsor must be routed through the Office of Research Administration.